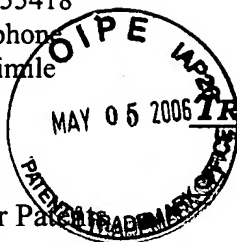


IPLM Group, P.A.
P.O. Box 18455
Minneapolis, MN 55418
612-331-7400 telephone
612-331-7401 facsimile



TRANSMITTAL LETTER

DB
PATENT

| Attorney Docket No. | Serial No. |
|---------------------|------------|
| 151-P-11699US01 | 10/698,334 |

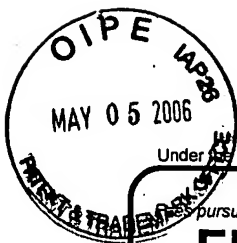
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

| | | | |
|--|---|-----------|-----------|
| In re Application of: | Ari Moskowitz et al. | | |
| Serial No.: | 10/698,334 | Examiner: | P. Bianco |
| Confirmation No.: | 9684 | Art Unit: | 3761 |
| Filed: | October 31, 2003 | | |
| For: | Apparatus & Method for Retrograde Placement of Sagittal Sinus Drainage Catheter | | |
| We are transmitting the following documents: Return Postcard Transmittal Letter [1 page] Fee Transmittal [1 page] Supplemental Information Disclosure Statement [2 pages] Information Disclosure Statement By Applicant [1 page] One (1) foreign patent and five (5) Articles referenced therein | | | |

Please charge Deposit Account 50-0549 for any fees under 37 CFR §1.16 and §1.17 that may be required during the pendency of this application. This authorization includes the fee for any extension of time under 37 CFR §1.136(a) that may be necessary. To the extent any such extension should become necessary it is hereby requested.

Respectfully submitted,

| | | |
|---|-----------------------------|----------------------------------|
| Registration No. 28,052 | Direct Dial 612-331-7405 | <i>William D. Bauer</i> |
| Date: May 2, 2006 | | William D. Bauer |
| United States Patent and Trademark Office Customer No. 54228 | | |
| Certificate of Mailing | | |
| Pursuant to 37 CFR 1.8, I certify that this correspondence is being deposited in the United States mail, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below indicated date. | | |
| | | Signature <i>April D. Kaplan</i> |
| Date of Mailing May 2, 2006 | | Printed Name April D. Kaplan |



Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | 10/698,334 |
| Filing Date | October 31, 2003 |
| First Named Inventor | Ari Moskowitz et al. |
| Examiner Name | P. Bianco |
| Art Unit | 3761 |
| Attorney Docket No. | 151P11699US01 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **50-0549** Deposit Account Name: **IPLM Group, P.A.**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

Total Claims - 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims - 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|---|----------|---------------|
| _____ | _____ | _____ / 50 = _____ (round up to a whole number) x _____ | _____ | _____ |

4. OTHER FEE(S)

| | Fees Paid (\$) |
|---|----------------|
| Non-English Specification, \$130 fee (no small entity discount) | |
| Other (e.g., late filing surcharge): Information Disclosure Statement Fee | 180 |

| | | |
|-------------------|-------------------------|-------------------------|
| SUBMITTED BY | | |
| Signature | <i>William D. Bauer</i> | Registration No. 28,052 |
| Name (Print/Type) | William D. Bauer | Telephone 612-331-7405 |
| | | Date May 2, 2006 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-----------------------|---|-------------------|--------------------|
| In re Application of: | Ari Moskowitz | Examiner: | Patricia M. Bianco |
| Serial No.: | 10/698,334 | Art Unit: | 3761 |
| Filed: | October 31, 2003 | Confirmation No.: | 9684 |
| | | Docket No.: | 151-P-11699US01 |
| For: | Apparatus and Method For Retrograde Placement of Sagittal Sinus Drainage Catheter | | |

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on .

Date: May 2, 2006

April D. Kaplan
April D. Kaplan

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

In accordance with 37 C.F.R. §1.98(a)(2)(i), copies of the U.S. Patents listed on the enclosed Form 1449 are not provided, as this application was filed after June 30, 2003. If any published patents, foreign patent documents or "Non Patent Literature" are listed on the Form 1449, a copy of the document is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0549.

Respectfully submitted,

ARI MOSKOWITZ

Date: May 2, 2006

By: William D. Bauer

William D. Bauer

Reg. No. 28,052

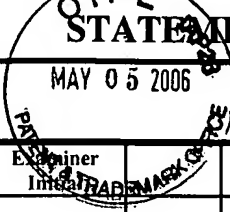
IPLM Group, P.A.

Post Office Box 18455

Minneapolis, MN 55418

Telephone (612) 331-7405

WDB:adk

| | | |
|--|------------------------------------|------------------------|
| INFORMATION DISCLOSURE STATEMENT  | Atty. Docket No.: 151P11699US01 | Serial No.: 10/698,334 |
| | Applicant(s): Ari Moskowitz et al. | Confirmation No.: 9684 |
| | Filing Date: October 31, 2003 | Group: 3761 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|---------------------|-----------------|------------|-------------------|-------|----------|-------------------------------|
| | US-2,969,066 | 01/24/1961 | Holter et al. | | | |
| | US-3,020,913 | 02/13/1962 | Heyer | | | |
| | US-3,288,142 | 11/29/1966 | Hakim | | | |
| | US-5,176,627 | 01/05/1993 | Watson | | | |
| | US-5,980,480 | 11/09/1999 | Rubenstein et al. | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation | |
|---------------------|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | Yes | No |
| | WO 98/11934 | 03/26/1998 | PCT | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

| Examiner Initial | Document Description |
|---------------------|---|
| | McCabe, W.L. Smith J.C. (1967) Fluid Flow Phenomenon, Ch. 3, 2 nd Ed., In: Unit Operations of Chemical Engineering, McGraw, New York, pp. 47-67. |
| | El-Shafei, I.L., et al. (1987), Ventriculo-Jugular Shunt Against The Direction of Blood Flow, III, Operative Techniques and Results, Childs. Nervous System, 3:342-349. |
| | El-Shafei, I.L., et al., (1991), Ventriculo-jugular Shunt Against the Direction of Blood Flow, IV, Technical Modifications and Policy for Treatment, Childs Nervous System, 7:197-204. |
| | El-Shaarawy, AM (1997), Study of Alternative Methods for Cerebrospinal Fluid Shunting to the Venous Circulation, Experimental Modeling, A Thesis Submitted to the faculty of engineering at Cairo University in partial fulfillment of the requirements for the degree of Doctor of Philosophy in chemical engineering. |
| | Pudenz R.H., Russell EE, Hard Ah, Cheldon, Ca. (1957), Ventriculo-Auriculostomy, A Technique for Shunting Cerebrospinal Fluid Into the Right Auricle, Preliminary Report J. Neurosurg., 14:171-179. |

| | |
|-----------------|------------------------|
| EXAMINER | Date Considered |
|-----------------|------------------------|

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.